## Section IV Rights and Obligations of Members

- 14. The Member shall have the following rights:
  - (1) To participate in the meetings, debate and express his views in the general meetings of the Association;
  - (2) To submit the request to register the debt instruments with the Association pursuant to the terms and conditions and procedure prescribed by the Association;
  - (3) To propose the opinions or recommendation to the Association and the Board concerning the Association's business;
  - (4) To use the services made available by the Association pursuant to the rules, terms and conditions and procedure prescribed by the Association.
- 15. The Member shall be obligated to do the following:
  - (1) To strictly comply with the rules and regulations of the Associations, disciplines, ethics, and standard procedure of the bond market, resolution of the membership meetings and resolutions of the Board;
  - (2) To appoint an Executive officer and a Compliance Officer and a Trader to represent the Members. The Member shall supervise them to ensure their compliance with the ethic and good practices and standard of procedure of the debt instruments market, and rules and regulations of the Association;
  - (3) To report trading prices and information relating to the trading of debt instruments pursuant to the terms and conditions and procedures prescribes by the Association;
  - (4) To prepare and keep the records of documents and evidence including accounting records of the trading of debt instruments for the benefits of auditing pursuant to the terms and conditions and procedure prescribed by the Association;
  - (5) To be accountable for the performance of his Director, personnel or employees involved in the trading of debt instruments;
  - (6) To submit reports and financial statements on the forms and pursuant to the terms and conditions and procedure prescribed by the Association;
  - (7) To pay the membership admission fee or membership transfer fee, as the case may be, the annual fee and the service fees to the Association in accordance with the rules and regulations prescribed by the Board;
  - (8) To maintain the honor, benefits and interests of the Association;
  - (9) To promote and support the activities of the Association, as well as to participate in research and education and to express views beneficial to the development of debt instrument market in general;
  - (10) To notify the Association upon having knowledge that any other Members breach or fail to comply with sub-clause (1).